

***In the Senate of the United States,***

*March 27, 2014.*

*Resolved*, That the bill from the House of Representatives (H.R. 4152) entitled “An Act to provide for the costs of loan guarantees for Ukraine.”, do pass with the following

**AMENDMENT:**

Strike all after the enacting clause and insert the following:

1 **SECTION 1. SHORT TITLE.**

2 *This Act may be cited as the “Support for the Sov-*  
3 *ereignty, Integrity, Democracy, and Economic Stability of*  
4 *Ukraine Act of 2014”.*

5 **SEC. 2. DEFINITIONS.**

6 *In this Act:*

7 (1) *ALIEN.*—*The term “alien” has the meaning*  
8 *given that term in section 101(a) of the Immigration*  
9 *and Nationality Act (8 U.S.C. 1101(a)).*

1           (2) *APPROPRIATE CONGRESSIONAL COMMIT-*  
2           *TEES.*—*The term “appropriate congressional commit-*  
3           *tees” means—*

4                   (A) *the Committee on Foreign Relations, the*  
5                   *Select Committee on Intelligence, the Committee*  
6                   *on Appropriations, and the majority leader and*  
7                   *minority leader of the Senate; and*

8                   (B) *the Committee on Foreign Affairs, the*  
9                   *Permanent Select Committee on Intelligence, the*  
10                   *Committee on Appropriations, and the Speaker*  
11                   *and minority leader of the House of Representa-*  
12                   *tives.*

13           (3) *MATERIALLY ASSISTED.*—*The term “materi-*  
14           *ally assisted” means the provision of assistance that*  
15           *is significant and of a kind directly relevant to acts*  
16           *described in paragraph (1), (2), or (3) of section 8(a)*  
17           *or acts described in section 9(a)(1).*

18           (4) *UNITED STATES PERSON.*—*The term “United*  
19           *States person” means—*

20                   (A) *a United States citizen or an alien law-*  
21                   *fully admitted for permanent residence to the*  
22                   *United States; or*

23                   (B) *an entity organized under the laws of*  
24                   *the United States or of any jurisdiction within*

1           *the United States, including a foreign branch of*  
2           *such an entity.*

3 **SEC. 3. UNITED STATES POLICY TOWARD UKRAINE.**

4           *It is the policy of the United States—*

5           (1) *to condemn the unjustified military interven-*  
6           *tion of the Russian Federation in the Crimea region*  
7           *of Ukraine and its concurrent occupation of that re-*  
8           *gion, as well as any other form of political, economic,*  
9           *or military aggression against Ukraine;*

10          (2) *to reaffirm the commitment of the United*  
11          *States to, and to remind Russia of its ongoing com-*  
12          *mitment to, the 1994 Budapest Memorandum on Se-*  
13          *curity Assurances, which was executed jointly with*  
14          *the Russian Federation and the United Kingdom and*  
15          *explicitly secures the independence, sovereignty, and*  
16          *territorial integrity and borders of Ukraine, and to*  
17          *demand the immediate cessation of improper activi-*  
18          *ties, including the seizures of airfields and other loca-*  
19          *tions, and the immediate return of Russian forces to*  
20          *their barracks;*

21          (3) *to work with United States partners in the*  
22          *European Union, the North Atlantic Treaty Organi-*  
23          *zation, and at the United Nations to ensure that all*  
24          *nations recognize and not undermine, nor seek to un-*

1 *dermine, the independence, sovereignty, or territorial*  
2 *or economic integrity of Ukraine;*

3 *(4) to use all appropriate economic elements of*  
4 *United States national power, in coordination with*  
5 *United States allies, to protect the independence, sov-*  
6 *ereignty, and territorial and economic integrity of*  
7 *Ukraine;*

8 *(5) to support the people of Ukraine in their de-*  
9 *sire to forge closer ties with Europe, including sign-*  
10 *ing an Association Agreement with the European*  
11 *Union as a means to address endemic corruption,*  
12 *consolidate democracy, and achieve sustained pros-*  
13 *perity;*

14 *(6) to use the voice and vote of the United States*  
15 *to secure sufficient resources through the International*  
16 *Monetary Fund to support needed economic struc-*  
17 *tural reforms in Ukraine under conditions that will*  
18 *reinforce a sovereign decision by the Government of*  
19 *Ukraine to sign and implement an association agree-*  
20 *ment with the European Union;*

21 *(7) to help the Government of Ukraine prepare*  
22 *for the presidential election in May 2014;*

23 *(8) to reinforce the efforts of the Government of*  
24 *Ukraine to bring to justice those responsible for the*  
25 *acts of violence against peaceful protestors and other*

1 *unprovoked acts of violence related to the*  
2 *antigovernment protests in that began on November*  
3 *21, 2013;*

4 *(9) to support the efforts of the Government of*  
5 *Ukraine to recover and return to the Ukrainian state*  
6 *funds stolen by former President Yanukovich, his*  
7 *family, and other current and former members of the*  
8 *Ukrainian government and elites;*

9 *(10) to support the continued professionalization*  
10 *of the Ukrainian military;*

11 *(11) to condemn economic extortion by the Rus-*  
12 *sian Federation against Ukraine, Moldova, Lith-*  
13 *uania, and other countries in the region designed to*  
14 *obstruct closer ties between the European Union and*  
15 *the countries of the Eastern Partnership and to re-*  
16 *duce the harmful consequences of such extortion;*

17 *(12) to condemn the continuing and long-stand-*  
18 *ing pattern and practice by the Government of the*  
19 *Russian Federation of physical and economic aggres-*  
20 *sion toward neighboring countries;*

21 *(13) to enhance and extend our security coopera-*  
22 *tion with, security assistance to, and military exer-*  
23 *cises conducted with, states in Central and Eastern*  
24 *Europe, including North Atlantic Treaty Organiza-*

1        *tion (NATO) member countries, NATO aspirants, and*  
2        *appropriate Eastern Partnership countries;*

3                *(14) to reaffirm United States defense commit-*  
4        *ments to its treaty allies under Article V of the North*  
5        *Atlantic Treaty;*

6                *(15) that the continued participation of the Rus-*  
7        *sian Federation in the Group of Eight (G–8) nations*  
8        *should be conditioned on the Government of the Rus-*  
9        *sian Federation respecting the territorial integrity of*  
10       *its neighbors and accepting and adhering to the*  
11       *norms and standards of free, democratic societies as*  
12       *generally practiced by every other member nation of*  
13       *the G–8 nations;*

14                *(16) to explore ways for the United States Gov-*  
15       *ernment to assist the countries of Central and East-*  
16       *ern Europe to diversify their energy sources and*  
17       *achieve energy security; and*

18                *(17) to ensure the United States maintains its*  
19       *predominant leadership position and influence within*  
20       *the International Monetary Fund, and to guarantee*  
21       *the International Monetary Fund has the resources*  
22       *and governance structure necessary to support struc-*  
23       *tural reforms in Ukraine and respond to and prevent*  
24       *a potentially serious financial crisis in Ukraine or*

1 *other foreign economic crises that threatens United*  
2 *States national security.*

3 **SEC. 4. PROVISION OF COSTS OF LOAN GUARANTEES FOR**  
4 **UKRAINE.**

5 (a) *IN GENERAL.*—From the unobligated balance of  
6 amounts appropriated or otherwise made available under  
7 the heading “ECONOMIC SUPPORT FUND” under the heading  
8 “FUNDS APPROPRIATED TO THE PRESIDENT” in title III  
9 of the Department of State, Foreign Operations, and Re-  
10 lated Programs Appropriations Act, 2014 (division K of  
11 Public Law 113–76) and in Acts making appropriations  
12 for the Department of State, foreign operations, and related  
13 programs for preceding fiscal years (other than amounts  
14 designated pursuant to section 251(b)(2)(A) of the Balanced  
15 Budget and Emergency Deficit Control Act of 1985 (2  
16 U.S.C. 901(b)(2)(A))), amounts shall be made available for  
17 the costs (as defined in section 502 of the Congressional  
18 Budget Act of 1974 (2 U.S.C. 661a)) of loan guarantees  
19 for Ukraine that are hereby authorized to be provided under  
20 this Act.

21 (b) *INAPPLICABILITY OF CERTAIN LIMITATIONS.*—  
22 Amounts made available for the costs of loan guarantees  
23 for Ukraine pursuant to subsection (a) shall not be consid-  
24 ered “assistance” for the purpose of provisions of law lim-  
25 iting assistance to Ukraine.

1 **SEC. 5. RECOVERY OF ASSETS LINKED TO GOVERNMENTAL**  
2 **CORRUPTION IN UKRAINE.**

3 (a) *ASSET RECOVERY.*—*The Secretary of State, in co-*  
4 *ordination with the Attorney General and the Secretary of*  
5 *the Treasury, shall assist, on an expedited basis as appro-*  
6 *priate, the Government of Ukraine to identify, secure, and*  
7 *recover assets linked to acts of corruption by Viktor*  
8 *Yanukovich, members of his family, or other former or cur-*  
9 *rent officials of the Government of Ukraine or their accom-*  
10 *plices in any jurisdiction through appropriate programs,*  
11 *including the Kleptocracy Asset Recovery Initiative of the*  
12 *Department of Justice.*

13 (b) *COORDINATION.*—*Any asset recovery efforts under-*  
14 *taken pursuant to subsection (a) shall be coordinated*  
15 *through the relevant bilateral or multilateral entities, in-*  
16 *cluding, as appropriate, the Egmont Group of Financial*  
17 *Intelligence Units, the Stolen Asset Recovery Initiative of*  
18 *the World Bank Group and the United Nations Office on*  
19 *Drugs and Crime, the Camden Asset Recovery Inter-Agency*  
20 *Network, and the Global Focal Point Initiative of the Inter-*  
21 *national Criminal Police Organization (INTERPOL).*

22 (c) *INVESTIGATIVE ASSISTANCE.*—*The Secretary of*  
23 *State, in coordination with the Attorney General, shall as-*  
24 *ist the Government of Ukraine, the European Union, and*  
25 *other appropriate countries, on an expedited basis, with for-*  
26 *mal and informal investigative assistance and training, as*



1 *appropriate, to support the identification, seizure, and re-*  
2 *turn to the Government of Ukraine of assets linked to acts*  
3 *of corruption.*

4 (d) *PRIORITY ASSIGNED.—The Secretary of the Treas-*  
5 *ury shall ensure that the Financial Crimes Enforcement*  
6 *Network of the Department of the Treasury assists the Gov-*  
7 *ernment of Ukraine, the European Union, and other appro-*  
8 *priate countries under section 314(a) of the Uniting and*  
9 *Strengthening America by Providing Appropriate Tools Re-*  
10 *quired to Intercept and Obstruct Terrorism Act of 2001 (31*  
11 *U.S.C. 5311 note).*

12 **SEC. 6. DEMOCRACY, CIVIL SOCIETY, GOVERNANCE, AND**  
13 **TECHNICAL ASSISTANCE FOR UKRAINE AND**  
14 **OTHER STATES IN CENTRAL AND EASTERN**  
15 **EUROPE.**

16 (a) *IN GENERAL.—The Secretary of State shall, subject*  
17 *to the availability of appropriations, directly or through*  
18 *nongovernmental organizations—*

19 (1) *improve democratic governance, trans-*  
20 *parency, accountability, rule of law, and anti-corrup-*  
21 *tion efforts in Ukraine;*

22 (2) *support efforts by the Government of Ukraine*  
23 *to foster greater unity among the people and regions*  
24 *of the country;*

1           (3) support the people and Government of  
2       Ukraine in preparing to conduct and contest free and  
3       fair elections, including through domestic and inter-  
4       national election monitoring;

5           (4) assist in diversifying Ukraine's economy,  
6       trade, and energy supplies, including at the national,  
7       regional, and local levels;

8           (5) strengthen democratic institutions and polit-  
9       ical and civil society organizations in Ukraine;

10          (6) expand free and unfettered access to inde-  
11       pendent media of all kinds in Ukraine and assist  
12       with the protection of journalists and civil society ac-  
13       tivist who have been targeted for free speech activi-  
14       ties;

15          (7) support political and economic reform initia-  
16       tives by Eastern Partnership countries; and

17          (8) support the efforts of the Government of  
18       Ukraine, civil society, and international organiza-  
19       tions to enhance the economic and political empower-  
20       ment of women in Ukraine and to prevent and ad-  
21       dress violence against women and girls in Ukraine,  
22       and support the inclusion of women in Ukraine in  
23       any negotiations to restore Ukraine's security, inde-  
24       pendence, sovereignty, or territorial or economic in-  
25       tegrity.

1       (b) *AUTHORIZATION OF APPROPRIATIONS.—There is*  
2 *authorized to be appropriated to the Secretary of State*  
3 *\$50,000,000 for fiscal year 2015 to carry out the activities*  
4 *set forth in subsection (a). Amounts appropriated for the*  
5 *activities set forth in subsection (a) shall be used pursuant*  
6 *to the authorization and requirements contained in this sec-*  
7 *tion. Additional amounts may be authorized to be appro-*  
8 *priated under other provisions of law.*

9       (c) *STRATEGY REQUIREMENT.—Not later than 60 days*  
10 *after the date of the enactment of this Act, the President*  
11 *shall submit to the appropriate congressional committees a*  
12 *strategy to carry out the activities set forth in subsection*  
13 *(a).*

14       (d) *NOTIFICATION REQUIREMENT.—*

15           (1) *IN GENERAL.—Funds appropriated or other-*  
16 *wise made available pursuant to subsection (b) may*  
17 *not be obligated until 15 days after the date on which*  
18 *the President has provided notice of intent to obligate*  
19 *such funds to the appropriate congressional commit-*  
20 *tees.*

21           (2) *WAIVER.—The President may waive the noti-*  
22 *fication requirement under paragraph (1) if the*  
23 *President determines that failure to do so would pose*  
24 *a substantial risk to human health or welfare, in*  
25 *which case notification shall be provided as early as*

1        *practicable, but in no event later than three days*  
2        *after taking the action to which such notification re-*  
3        *quirement was applicable in the context of the cir-*  
4        *cumstances necessitating such waiver.*

5    **SEC. 7. ENHANCED SECURITY COOPERATION WITH**  
6                    **UKRAINE AND OTHER COUNTRIES IN CEN-**  
7                    **TRAL AND EASTERN EUROPE.**

8        *(a) IN GENERAL.—The President shall, subject to the*  
9        *availability of appropriations—*

10            *(1) enhance security cooperation efforts and rela-*  
11            *tionships amongst countries in Central and Eastern*  
12            *Europe and among the United States, the European*  
13            *Union, and countries in Central and Eastern Europe;*

14            *(2) provide additional security assistance, in-*  
15            *cluding defense articles and defense services (as those*  
16            *terms are defined in section 47 of the Arms Export*  
17            *Control Act (22 U.S.C. 2794)) and military training,*  
18            *to countries in Central and Eastern Europe, includ-*  
19            *ing Ukraine; and*

20            *(3) support greater reform, professionalism, and*  
21            *capacity-building efforts within the military, intel-*  
22            *ligence, and security services in Central and Eastern*  
23            *Europe, including Ukraine.*

24        *(b) AUTHORIZATION OF APPROPRIATIONS.—There is*  
25        *authorized to be appropriated to the President a total of*

1 \$100,000,000 for fiscal years 2015 through 2017 to carry  
2 out this section. Amounts appropriated for the activities set  
3 forth in subsection (a) shall be used pursuant to the author-  
4 ization and requirements contained in this section. Addi-  
5 tional amounts may be authorized to be appropriated under  
6 other provisions of law.

7 (c) *STRATEGY REQUIREMENT.*—Not later than 60 days  
8 after the date of the enactment of this Act, the President  
9 shall submit to the appropriate congressional committees a  
10 strategy to carry out the activities set forth in subsection  
11 (a).

12 (d) *NOTIFICATION REQUIREMENT.*—

13 (1) *IN GENERAL.*—Funds appropriated or other-  
14 wise made available pursuant to subsection (b) may  
15 not be obligated until 15 days after the date on which  
16 the President has provided notice of intent to obligate  
17 such funds to the appropriate congressional commit-  
18 tees and the Committees on Armed Services of the  
19 Senate and the House of Representatives.

20 (2) *WAIVER.*—The President may waive the noti-  
21 fication requirement under paragraph (1) if the  
22 President determines that failure to do so would pose  
23 a substantial risk to human health or welfare, in  
24 which case notification shall be provided as early as  
25 practicable, but in no event later than three days

1       *after taking the action to which such notification re-*  
2       *quirement was applicable in the context of the cir-*  
3       *cumstances necessitating such waiver.*

4   **SEC. 8. SANCTIONS ON PERSONS RESPONSIBLE FOR VIO-**  
5               **LENCE OR UNDERMINING THE PEACE, SECU-**  
6               **RITY, STABILITY, SOVEREIGNTY, OR TERRI-**  
7               **TORIAL INTEGRITY OF UKRAINE.**

8       *(a) IN GENERAL.—The President shall impose the*  
9       *sanctions described in subsection (b) with respect to—*

10           *(1) any person, including a current or former of-*  
11           *ficial of the Government of Ukraine or a person act-*  
12           *ing on behalf of that Government, that the President*  
13           *determines has perpetrated, or is responsible for or-*  
14           *dering, controlling, or otherwise directing, significant*  
15           *acts of violence or gross human rights abuses in*  
16           *Ukraine against persons associated with the*  
17           *antigovernment protests in Ukraine that began on*  
18           *November 21, 2013;*

19           *(2) any person that the President determines has*  
20           *perpetrated, or is responsible for ordering, controlling,*  
21           *or otherwise directing, significant acts that are in-*  
22           *tended to undermine the peace, security, stability,*  
23           *sovereignty, or territorial integrity of Ukraine, in-*  
24           *cluding acts of economic extortion;*

1           (3) *any official of the Government of the Russian*  
2 *Federation, or a close associate or family member of*  
3 *such an official, that the President determines is re-*  
4 *sponsible for, complicit in, or responsible for ordering,*  
5 *controlling, or otherwise directing, acts of significant*  
6 *corruption in Ukraine, including the expropriation of*  
7 *private or public assets for personal gain, corruption*  
8 *related to government contracts or the extraction of*  
9 *natural resources, bribery, or the facilitation or trans-*  
10 *fer of the proceeds of corruption to foreign jurisdic-*  
11 *tions; and*

12           (4) *any individual that the President determines*  
13 *materially assisted, sponsored, or provided financial,*  
14 *material, or technological support for, or goods or*  
15 *services in support of, the commission of acts de-*  
16 *scribed in paragraph (1), (2), or (3).*

17 (b) *SANCTIONS DESCRIBED.—*

18           (1) *IN GENERAL.—The sanctions described in*  
19 *this subsection are the following:*

20           (A) *ASSET BLOCKING.—The exercise of all*  
21 *powers granted to the President by the Inter-*  
22 *national Emergency Economic Powers Act (50*  
23 *U.S.C. 1701 et seq.) to the extent necessary to*  
24 *block and prohibit all transactions in all prop-*  
25 *erty and interests in property of a person deter-*

1           *mined by the President to be subject to subsection*  
2           *(a) if such property and interests in property*  
3           *are in the United States, come within the United*  
4           *States, or are or come within the possession or*  
5           *control of a United States person.*

6           *(B) EXCLUSION FROM THE UNITED STATES*  
7           *AND REVOCATION OF VISA OR OTHER DOCU-*  
8           *MENTATION.—In the case of an alien determined*  
9           *by the President to be subject to subsection (a),*  
10          *denial of a visa to, and exclusion from the*  
11          *United States of, the alien, and revocation in ac-*  
12          *cordance with section 221(i) of the Immigration*  
13          *and Nationality Act (8 U.S.C. 1201(i)), of any*  
14          *visa or other documentation of the alien.*

15          *(2) PENALTIES.—A person that violates, at-*  
16          *tempts to violate, conspires to violate, or causes a vio-*  
17          *lation of paragraph (1)(A) or any regulation, license,*  
18          *or order issued to carry out paragraph (1)(A) shall*  
19          *be subject to the penalties set forth in subsections (b)*  
20          *and (c) of section 206 of the International Emergency*  
21          *Economic Powers Act (50 U.S.C. 1705) to the same*  
22          *extent as a person that commits an unlawful act de-*  
23          *scribed in subsection (a) of that section.*

24          *(3) EXCEPTION RELATING TO THE IMPORTATION*  
25          *OF GOODS.—*



1           (A) *IN GENERAL.*—*The requirement to block*  
2           *and prohibit all transactions in all property and*  
3           *interests in property under paragraph (1)(A)*  
4           *shall not include the authority to impose sanc-*  
5           *tions on the importation of goods.*

6           (B) *GOOD DEFINED.*—*In this paragraph,*  
7           *the term “good” has the meaning given that term*  
8           *in section 16 of the Export Administration Act*  
9           *of 1979 (50 U.S.C. App. 2415) (as continued in*  
10           *effect pursuant to the International Emergency*  
11           *Economic Powers Act (50 U.S.C. 1701 et seq.)).*

12           (4) *EXCEPTION TO COMPLY WITH UNITED NA-*  
13           *TIONS HEADQUARTERS AGREEMENT.*—*Sanctions*  
14           *under paragraph (1)(B) shall not apply to an alien*  
15           *if admitting the alien into the United States is nec-*  
16           *essary to permit the United States to comply with the*  
17           *Agreement regarding the Headquarters of the United*  
18           *Nations, signed at Lake Success June 26, 1947, and*  
19           *entered into force November 21, 1947, between the*  
20           *United Nations and the United States, or other appli-*  
21           *cable international obligations.*

22           (c) *WAIVER.*—*The President may waive the applica-*  
23           *tion of sanctions under subsection (b) with respect to a per-*  
24           *son if the President—*

1           (1) *determines that such a waiver is in the na-*  
2           *tional security interests of the United States; and*

3           (2) *on or before the date on which the waiver*  
4           *takes effect, submits to the Committee on Foreign Re-*  
5           *lations and the Committee on Banking, Housing, and*  
6           *Urban Affairs of the Senate and the Committee on*  
7           *Foreign Affairs and the Committee on Financial*  
8           *Services of the House of Representatives a notice of*  
9           *and a justification for the waiver.*

10          (d) *REGULATORY AUTHORITY.—The President shall*  
11          *issue such regulations, licenses, and orders as are necessary*  
12          *to carry out this section.*

13          **SEC. 9. SANCTIONS ON PERSONS IN THE RUSSIAN FEDERA-**  
14                                    **TION COMPLICIT IN OR RESPONSIBLE FOR**  
15                                    **SIGNIFICANT CORRUPTION.**

16          (a) *IN GENERAL.—The President is authorized and en-*  
17          *couraged to impose the sanctions described in subsection (b)*  
18          *with respect to—*

19               (1) *any official of the Government of the Russian*  
20               *Federation, or a close associate or family member of*  
21               *such an official, that the President determines is re-*  
22               *sponsible for, or complicit in, or responsible for order-*  
23               *ing, controlling, or otherwise directing, acts of signifi-*  
24               *cant corruption in the Russian Federation, including*  
25               *the expropriation of private or public assets for per-*

1     *sonal gain, corruption related to government con-*  
2     *tracts or the extraction of natural resources, bribery,*  
3     *or the facilitation or transfer of the proceeds of cor-*  
4     *ruption to foreign jurisdictions; and*

5             *(2) any individual who has materially assisted,*  
6     *sponsored, or provided financial, material, or techno-*  
7     *logical support for, or goods or services in support of,*  
8     *an act described in paragraph (1).*

9     **(b) SANCTIONS DESCRIBED.—**

10            **(1) IN GENERAL.—***The sanctions described in*  
11     *this subsection are the following:*

12            **(A) ASSET BLOCKING.—***The exercise of all*  
13     *powers granted to the President by the Inter-*  
14     *national Emergency Economic Powers Act (50*  
15     *U.S.C. 1701 et seq.) to the extent necessary to*  
16     *block and prohibit all transactions in all prop-*  
17     *erty and interests in property of a person deter-*  
18     *mined by the President to be subject to subsection*  
19     *(a) if such property and interests in property*  
20     *are in the United States, come within the United*  
21     *States, or are or come within the possession or*  
22     *control of a United States person.*

23            **(B) EXCLUSION FROM THE UNITED STATES**  
24     **AND REVOCATION OF VISA OR OTHER DOCU-**  
25     **MENTATION.—***In the case of an alien determined*

1           *by the President to be subject to subsection (a),*  
2           *denial of a visa to, and exclusion from the*  
3           *United States of, the alien, and revocation in ac-*  
4           *cordance with section 221(i) of the Immigration*  
5           *and Nationality Act (8 U.S.C. 1201(i)), of any*  
6           *visa or other documentation of the alien.*

7           (2) *PENALTIES.*—*A person that violates, at-*  
8           *tempts to violate, conspires to violate, or causes a vio-*  
9           *lation of paragraph (1)(A) or any regulation, license,*  
10           *or order issued to carry out paragraph (1)(A) shall*  
11           *be subject to the penalties set forth in subsections (b)*  
12           *and (c) of section 206 of the International Emergency*  
13           *Economic Powers Act (50 U.S.C. 1705) to the same*  
14           *extent as a person that commits an unlawful act de-*  
15           *scribed in subsection (a) of that section.*

16           (3) *EXCEPTION RELATING TO THE IMPORTATION*  
17           *OF GOODS.*—

18           (A) *IN GENERAL.*—*The authority to block*  
19           *and prohibit all transactions in all property and*  
20           *interests in property under paragraph (1)(A)*  
21           *shall not include the authority to impose sanc-*  
22           *tions on the importation of goods.*

23           (B) *GOOD DEFINED.*—*In this paragraph,*  
24           *the term “good” has the meaning given that term*  
25           *in section 16 of the Export Administration Act*

1           of 1979 (50 U.S.C. App. 2415) (as continued in  
2           effect pursuant to the International Emergency  
3           Economic Powers Act (50 U.S.C. 1701 et seq.)).

4           (4) *EXCEPTION TO COMPLY WITH UNITED NA-*  
5           *TIONS HEADQUARTERS AGREEMENT.*—Sanctions  
6           under paragraph (1)(B) shall not apply to an alien  
7           if admitting the alien into the United States is nec-  
8           essary to permit the United States to comply with the  
9           Agreement regarding the Headquarters of the United  
10          Nations, signed at Lake Success June 26, 1947, and  
11          entered into force November 21, 1947, between the  
12          United Nations and the United States, or other appli-  
13          cable international obligations.

14          (c) *WAIVER.*—The President may waive the applica-  
15          tion of sanctions under subsection (b) with respect to a per-  
16          son if the President—

17               (1) determines that such a waiver is in the na-  
18               tional security interests of the United States; and

19               (2) on or before the date on which the waiver  
20               takes effect, submits to the Committee on Foreign Re-  
21               lations and the Committee on Banking, Housing, and  
22               Urban Affairs of the Senate and the Committee on  
23               Foreign Affairs and the Committee on Financial  
24               Services of the House of Representatives a notice of  
25               and a justification for the waiver.

1       (d) *REGULATORY AUTHORITY.*—*The President shall*  
2 *issue such regulations, licenses, and orders as are necessary*  
3 *to carry out this section.*

4 **SEC. 10. ANNUAL REPORT ON MILITARY AND SECURITY DE-**  
5 **VELOPMENTS INVOLVING THE RUSSIAN FED-**  
6 **ERATION.**

7       (a) *REPORT.*—*Not later than June 1, 2015, and June*  
8 *1 of each year thereafter through 2020, the Secretary of De-*  
9 *fense shall submit to the specified congressional committees*  
10 *a report, in both classified and unclassified form, on the*  
11 *current and future military power of the Russian Federa-*  
12 *tion (in this section referred to as “Russia”). The report*  
13 *shall address the current and probable future course of mili-*  
14 *tary-technological development of the Russian military, the*  
15 *tenets and probable development of the security strategy and*  
16 *military strategy of the Government of Russia, and mili-*  
17 *tary organizations and operational concepts, for the 20-year*  
18 *period following submission of such report.*

19       (b) *MATTERS TO BE INCLUDED.*—*The report required*  
20 *under subsection (a) shall include the following:*

21           (1) *An assessment of the security situation in re-*  
22 *gions neighboring Russia.*

23           (2) *The goals and factors shaping the security*  
24 *strategy and military strategy of the Government of*  
25 *Russia.*

1           (3) *Trends in Russian security and military be-*  
2 *havior that would be designed to achieve, or that are*  
3 *consistent with, the goals described in paragraph (2).*

4           (4) *An assessment of the global and regional se-*  
5 *curity objectives of the Government of Russia, includ-*  
6 *ing objectives that would affect the North Atlantic*  
7 *Treaty Organization, the Middle East, or the People’s*  
8 *Republic of China.*

9           (5) *A detailed assessment of the sizes, locations,*  
10 *and capabilities of the nuclear, special operations,*  
11 *land, sea, and air forces of the Government of Russia.*

12           (6) *Developments in Russian military doctrine*  
13 *and training.*

14           (7) *An assessment of the proliferation activities*  
15 *of the Government of Russia and Russian entities, as*  
16 *a supplier of materials, technologies, or expertise re-*  
17 *lating to nuclear weapons or other weapons of mass*  
18 *destruction or missile systems.*

19           (8) *Developments in the asymmetric capabilities*  
20 *of the Government of Russia, including its strategy*  
21 *and efforts to develop and deploy cyberwarfare and*  
22 *electronic warfare capabilities, details on the number*  
23 *of malicious cyber incidents originating from Russia*  
24 *against Department of Defense infrastructure, and as-*

1     *sociated activities originating or suspected of origi-*  
2     *nating from Russia.*

3             *(9) The strategy and capabilities of space and*  
4     *counterspace programs in Russia, including trends,*  
5     *global and regional activities, the involvement of mili-*  
6     *tary and civilian organizations, including state-*  
7     *owned enterprises, academic institutions, and com-*  
8     *mercial entities, and efforts to develop, acquire, or*  
9     *gain access to advanced technologies that would en-*  
10    *hance Russian military capabilities.*

11            *(10) Developments in Russia's nuclear program,*  
12    *including the size and state of Russia's stockpile, its*  
13    *nuclear strategy and associated doctrines, its civil*  
14    *and military production capacities, and projections of*  
15    *its future arsenals.*

16            *(11) A description of the anti-access and area*  
17    *denial capabilities of the Government of Russia.*

18            *(12) A description of Russia's command, control,*  
19    *communications, computers, intelligence, surveillance,*  
20    *and reconnaissance modernization program and its*  
21    *applications for Russia's precision guided weapons.*

22            *(13) In consultation with the Secretary of En-*  
23    *ergy and the Secretary of State, developments regard-*  
24    *ing United States-Russian engagement and coopera-*  
25    *tion on security matters.*



1           (14) *Other military and security developments*  
2           *involving Russia that the Secretary of Defense con-*  
3           *siders relevant to United States national security.*

4           (c) *SPECIFIED CONGRESSIONAL COMMITTEES DE-*  
5           *FINED.—In this section, the term “specified congressional*  
6           *committees” means—*

7           (1) *the Committee on Foreign Relations, the*  
8           *Committee on Armed Services, the Select Committee*  
9           *on Intelligence, and the majority leader and minority*  
10          *leader of the Senate; and*

11          (2) *the Committee on Foreign Affairs, the Com-*  
12          *mittee on Armed Services, the Permanent Select Com-*  
13          *mittee on Intelligence, and the Speaker and minority*  
14          *leader of the House of Representatives.*

Attest:

*Secretary.*

113<sup>TH</sup> CONGRESS  
2<sup>D</sup> SESSION

**H.R. 4152**

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**AMENDMENT**